# Modeling the output performances of the apodized fiber grating diode lasers by transfer matrix method

## J. LI, Z.-M. WU, T. DENG, G.-Q. XIA\*

School of Physics, Southwest University, Chongqing 400715, China

Based on the transfer matrix method, by which the limitations of Lang-Kobayashi model and equivalent cavity model can be partly overcome, the output characteristics of the apodized fiber grating diode lasers (FGLs) have been theoretically investigated. The results show that with the change of the maximum of the refractive index modulation depth  $\Delta n_0$ , both the number and the location of the modes will vary; under certain parameters, single mode operation with the side-mode suppression ratio (SMSR) more than 40dB can be achieved.

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# 1. Introduction

With the development of dense wavelength division multiplexing (DWDM) technology, the light source with narrow line-width and good wavelength stability has been required due to the channel spacing of a DWDM system. Compared with the distributed feedback (DFB) diode laser, the fiber grating diode lasers (FGLs) have some advantages such as small size, narrow linewidth, low insertion loss, high coupling efficiency and good wavelength stability, which makes FGLs excellent candidate light source of DWDM system and a research hot [1-4].

At present, most of the related theoretical investigations on FGLs mainly use two traditional models of external cavity semiconductor lasers (ECSLs). One is Lang-Kobayashi model, which considers external optical feedback as a perturbation of internal cavity field and presupposes the number of longitudinal modes and their wavelengths before any simulations are undertaken [5-6]. The other is equivalent cavity model, where the effect of front facet of laser diode (facing the external cavity) and the external cavity is combined and represented with an equivalent reflection coefficient [1,2,4,7]. However, there exist some limitations during analyzing the ECSLs on the basis of above models. So Pierce et al. [8] proposed the transfer matrix method to study the output characteristics of the multimode external cavity semiconductor laser. This method can partly overcome the limitations of above two approaches. In this paper, we extend this method to the apodized FGLs. Compared to ordinary fiber grating (FG), the apodized FG can significantly reduce the sidelobes of the reflection spectrum, and therefore has a better wavelength selectivity for FGLs [9-10]. After adopting the transfer matrix method, the output characteristics

such as the optical spectrum and the side-mode suppression ratio have been simulated numerically on the basis of the multimode rate equations.

#### 2. Theory

A FGL is composed of four sections, LD chip, air gap between the LD facet and fiber, fiber and FG. Their length is  $L_d$ ,  $L_a$ ,  $L_e$ ,  $L_g$ , respectively. The refractive index for each section is denoted by  $\eta$ . The longitudinal position of the interface between each section is  $z_0$ ,  $z_1$ ,  $z_2$ ,  $z_3$ ,  $z_4$ , respectively. The structure is bounded by two regions with air on the left of  $z_0$  and fiber on the right of  $z_4$ .



Fig. 1. Schematic of a fiber grating diode lasers.

According to the coupled-mode theory, each section is assigned a backward-propagating field amplitude  $A_m$  and a forward-propagating field amplitude  $B_m$ , such that the total field  $E_m$  in each section is given by

$$E_m = A_m e^{-ik_m z} + B_m e^{ik_m z} \tag{1}$$

where  $k_m$  is the complex propagation constant of the mth

section and can be expressed as  $k_m = \frac{\omega}{c} (\eta_m + i\chi_m)$ ,  $\eta_m$  is

the refractive index of the mth section. As for the laser gain section, the refractive index  $\eta_1$  is a variable and can be described as  $\eta_1 = \eta_{a1} + b(N - N_0)$ , where  $\eta_{a1}$  is the refractive index of the gain medium corresponding to the carrier density  $N_0$ , b is the slope of the refractive index changed with N.  $X_m$  is related to the material gain  $g_m$  by the expression  $X_m = -\frac{c}{\omega} \frac{g_m}{2}$ . Because the LD chip is filled with the gain medium, its propagation constant is given by  $k_1 = \frac{\omega}{c} \eta_1 - i \frac{g_1}{2}$ . In the other sections, there is not

gain and the propagation constant is  $k_m = \omega \eta_m/c$ . According to the Eq. (1), the equation interlinking the field amplitudes at either end of the FGL system is written with the following matrix form

$$\begin{pmatrix} A_5 \\ B_5 \end{pmatrix} = Q(z_4)Q(z_3)Q(z_2)Q(z_1)Q(z_0) \begin{pmatrix} A_0 \\ B_0 \end{pmatrix}$$
(2)

where the matrix  $Q(z_0)$ ,  $Q(z_1)$ ,  $Q(z_2)$  are of the form

$$Q(z_m) = \begin{pmatrix} \frac{k_{m+1} + k_m}{2k_{m+1}} e^{i(k_{m+1} - k_m)z_m} & \frac{k_{m+1} - k_m}{2k_{m+1}} e^{i(k_{m+1} + k_m)z_m} \\ \frac{k_{m+1} - k_m}{2k_{m+1}} e^{-i(k_{m+1} + k_m)z_m} & \frac{k_{m+1} + k_m}{2k_{m+1}} e^{-i(k_{m+1} - k_m)z_m} \end{pmatrix}$$
(3)

The phase-shifting matrix of the fiber is

$$Q(z_3) = \begin{pmatrix} \exp(-ik_3Le) & 0\\ 0 & \exp(ik_3Le) \end{pmatrix}$$
(4)

As for apodized FG, it can be divided into M sections and the length of each section is  $\Delta z$ . As long as M is large enough, each section can be seen as a uniform FG, the matrix of the *i*th section  $Q_i(z_4)$  is [11-12]

$$Q_{i}(z_{4}) = \begin{pmatrix} \cosh(\gamma_{B}\Delta z) + i\frac{\hat{\sigma}}{\gamma_{B}}\sinh(\gamma_{B}\Delta z) & i\frac{\kappa}{\gamma_{B}}\sinh(\gamma_{B}\Delta z) \\ -i\frac{\kappa}{\gamma_{B}}\sinh(\gamma_{B}\Delta z) & \cosh(\gamma_{B}\Delta z) - i\frac{\hat{\sigma}}{\gamma_{B}}\sinh(\gamma_{B}\Delta z) \end{pmatrix}$$
(5)

where the 'ac' cross-coupling coefficient is  $\kappa = \frac{\pi}{\lambda} v \Delta n$ ,

$$\gamma_{B} = \sqrt{\kappa^{2} - \hat{\sigma}^{2}}$$
, the 'dc' self-coupling coefficient is

$$\hat{\sigma} = \delta + \sigma$$
, the detuning is  $\delta = 2\pi n_{eff} \left(\frac{1}{\lambda} - \frac{1}{\lambda_B}\right)$ , the

'dc' period-averaged coupling coefficient is  $\sigma = \frac{2\pi}{\lambda} \Delta n$ ,

where  $\Delta n$  is the average refractive index change of FG. The Bragg wavelength of FG is  $\lambda_B = 2n_{eff}\Lambda$ ,  $\Lambda$  is the period,  $n_{eff}$  is the effective refractive index of FG. The refractive index distribution of the core of uniform FG is given by

$$n(z) = n_{eff} + \Delta n \cos(2\pi z / \Lambda)$$
(6)

Here the refractive index distribution of the Gaussian apodized FG is described as

$$n(z) = n_{eff} + \Delta n \cos(2\pi z / \Lambda)$$
(7)

where  $\Delta n = \Delta n_0 f(z)$ ,  $\Delta n_0$  is the maximum of the refractive index modulation depth, f(z) is Gaussian apodized function and is giver by [9-10]

$$f(z) = \exp[-G(\frac{z}{Lg})^2]$$
(8)

where G is the parameter of the Gaussian apodized function.

The total transfer matrix of FG is

$$Q(z_4) = Q_M(z_4) \cdot Q_{M-1}(z_4) \cdot \dots \cdot Q_i(z_4) \cdot \dots \cdot Q_1(z_4) (9)$$

The phase shift matrix of the apodized FG is given y

by

$$Q'(z_4) = \begin{pmatrix} \exp(-ik_4Lg) & 0\\ 0 & \exp(ik_4Lg) \end{pmatrix}$$
(10)

According to the Eq. (2), since there should be no incoming electromagnetic fields, the amplitudes  $A_5$  and  $B_0$  are set to zero. Under this condition, Eq. (2) can then be solved numerically for the complex propagation constant of the gain section  $k_1$ . Therefore, the wavelength and threshold gain of each possible laser mode can be obtained.

The steady-state multimode rate equations of laser diode (LD) are given by [13]

$$0 = \frac{dN}{dt} = \frac{I}{eV} - \frac{N}{\tau_e} - \sum_m \upsilon_g g(\lambda_m) S_m \qquad (11a)$$

$$0 = \frac{dS_m}{dt} = \upsilon_g \Gamma g(\lambda_m) S_m + B\beta N^2 - \frac{S_m}{\tau_l} \qquad (11b)$$

where *I* is the injection current, V is the volume of active layer,  $\beta$  is the spontaneous emission factor,  $v_g$  is the group velocity,  $\Gamma$  is the confinement factor,  $\tau_e$  is the carrier lifetime and is given by

$$\tau_e = \frac{1}{A + BN + CN^2} \tag{12}$$

where A is the nonradiative recombination rate, B is the radiative recombination coefficient, C is the auger recombination coefficient.  $g(\lambda_m)$  is the gain of mth mode and is given by:

$$g(\lambda_m) = g_0 [1 - (\frac{\lambda_m - \lambda_g}{\Delta \lambda_g})^2]$$
(13)

where  $g_0 = a(N - N_0)$ , *a* is the gain constant,  $\lambda_g$  is the center wavelength of the gain,  $\Delta \lambda_g$  is the 3dB bandwidth of the gain.  $\tau_1$  is the photon life of each mode and is given by  $1/\tau_1 = 1/\tau^{cav} + 1/\tau^{scatt}$ , where  $\tau^{scatt}$  is the lifetime due to scatter loss, which is the same for each mode;  $\tau_l^{cav}$  is the external cavity lifetime, for our system,  $\tau_l^{cav}$  is a variable and calculated from the expression:

$$\tau_{l}^{cav} = \frac{\sum_{z_{0}}^{z_{1}} \langle U_{1}(z) \rangle dz + \int_{z_{1}}^{z_{2}} \langle U_{2}(z) \rangle dz + \int_{z_{2}}^{z_{3}} \langle U_{3}(z) \rangle dz + \int_{z_{3}}^{z_{4}} \langle U_{4}(z) \rangle dz}{2\sqrt{\frac{\varepsilon_{0}}{\mu_{0}}} (\eta_{0} |A_{0}|^{2} + \eta_{5} |B_{5}|^{2})}$$
(14)

where the cycle-averaged energy densities  $\langle U_1(z) \rangle$ ,

 $\langle U_2(z) \rangle$ ,  $\langle U_3(z) \rangle$  and  $\langle U_4(z) \rangle$  are given by

$$\langle U_1(z) \rangle = 2\varepsilon_0 \eta_1^2 (|A_1|^2 e^{-g_{th}z} + |B_1|^2 e^{g_{th}z})$$
 (15a)

$$\langle U_2(z) \rangle = 2\varepsilon_0 \eta_2^2 (|A_2|^2 + |B_2|^2)$$
 (15b)

$$\langle U_3(z) \rangle = 2\varepsilon_0 \eta_3^2 (|A_3|^2 + |B_3|^2)$$
 (15c)

(16)

$$\langle U_4(z) \rangle = 2\varepsilon_0 n_{eff}^2 \left( \frac{|A_4|^2 + |A_5|^2 + |B_4|^2 + |B_5|^2}{2} \right)$$
 (15d)

On evaluating the integrals, the external cavity lifetime is given by:

$$\tau_{l}^{cav} = \frac{\eta_{l}^{2}}{g_{th}} [|A|^{2} (e^{-g_{th}\tilde{c}_{0}} - e^{-g_{th}\tilde{c}_{1}}) + |B|^{2} (e^{g_{th}\tilde{c}_{1}} - e^{g_{th}\tilde{c}_{0}})] + (|A_{2}|^{2} + |B_{2}|^{2})(z_{2} - z_{1})\eta_{2}^{2} + (|A_{3}|^{2} + |B_{3}|^{2})(z_{3} - z_{2})\eta_{3}^{2} + (\frac{|A_{4}|^{2} + |B_{4}|^{2} + |B_{3}|^{2}}{2})Ig\eta_{eff}^{2} + \frac{|A_{4}|^{2} + |B_{4}|^{2} + |B_{4}|^{2} + |B_{3}|^{2}}{2})Ig\eta_{eff}^{2} + \frac{|A_{4}|^{2} + |B_{4}|^{2} + |B_{4}|^{2} + |B_{4}|^{2}}{2} + \frac{|A_{4}|^{2} + |B_{4}|^{2} + |B_{4}|^{2}}{2})Ig\eta_{eff}^{2} + \frac{|A_{4}|^{2} + |B_{4}|^{2} + |B_{4}|^{2}}{2} + \frac{|A_{4}|^{2} + |B_{$$

Based on the above analysis, for a FGL of given parameters, the  $S_m$  can be specified and the output power

of the *m*th mode can be calculated by:

$$P_{m} = \frac{(1-R_{1})|r_{0}|}{(1-R_{1})|r_{0}| + (1-R_{1})|r_{1}|} \frac{hc}{\lambda_{m}} \upsilon_{g} \left(-\frac{1}{L_{d}} \ln |r_{0}r_{1}|\right) VS_{m}$$
(17)

where h is the plank constant,  $r_0 = B_1 / A_1$  is the reflection coefficient of LD rear facet,  $r_1 = [A_1 \exp(-ik_1L_d)] / [B_1 \exp(ik_1L_d)]$  is the reflection coefficient of LD front facet.

From (17), the SMSR of the FGL can be obtained and defined as:

$$SMSR = 10 \lg(\frac{P_0}{P_1}) \tag{18}$$

where  $P_0$  is peak power within the FG reflection bandwidth,  $P_1$  is the peak power outside the reflection bandwidth.

#### 3. Results and discussion

The data used in calculations are:  $L_d = 250\mu m$ ,  $L_a = 8.1 \ \mu m$ ,  $L_e = 1 \times 10^{-3} \ m$ ,  $V = 1 \times 10^{-16} \ m^3$ ,  $e = 1.6 \times 10^{-19} \ C$ ,  $\beta = 1 \times 10^{-4}$ ,  $\Gamma = 0.3$ ,  $h = 6.63 \times 10^{-34} \ Js$ ,  $\alpha = 2.5 \times 10^{-20} \ m^2$ ,  $v_g = 0.75 \times 10^8 \ m/s$ ,  $\tau^{scatt} = 1.7ps$ ,  $N_0 = 1 \times 10^{-24} \ m^{-3}$ ,  $\lambda_g = 1550.1 \ nm$ ,  $\Delta \lambda_g = 10nm$ ,  $\lambda_B = 1548.8 \ nm$ ,  $A = 1 \times 10^8 \ s^{-1}$ ,  $B = 1 \times 10^{-16} \ m^3/s$ ,  $C = 3 \times 10^{-41} \ m^6/s$ ,  $\eta_0 = 1$ ,  $\eta_{al} = 3.5$ ,  $b = 1 \times 10^{-26} \ m^3$ ,  $\eta_2 = 1$ ,  $\eta_3 = 1.45$ ,  $n_{eff} = 1.46$ ,  $\eta_5 = 1.45$ , G = 10,  $L_g = 2 \ mm$ .



Fig. 2 gives the reflection spectrum of the Gaussian apodized FG for different  $\Delta n_0$ . Compared with the uniform FG, the sidelobes have been suppressed efficiently. With the increase of  $\Delta n_0$ , the peak reflectivity increases, the wavelength corresponding to peak reflectivity shifts to long wavelength and the full-width-half-maximum (FWHM) increases. Here we consider the weak feedback case, which means no antireflection coating at the front facet of the LD. It is assumed that the light outputs from the FG. Fig. 3 shows the output spectrum of the FGL for different  $\Delta n_0$ . From this diagram, it can be seen that the FGL is the single-mode output, the modes outside 3dB bandwidth of FG have been suppressed efficiently and the lasing wavelength appears within 3dB bandwidth of FG and shifts towards long wavelength with the increase of  $\Delta n_0$ . Moreover, the single mode operation with the side-mode suppression ratio (SMSR) more than 40dB can be obtained. These can be explained as that with the change of the maximum of the refractive index modulation depth  $\Delta n_0$ , the peak reflectivity, 3dB bandwidth and the center wavelength of FG will vary as Fig.2. Owing to the contribution of the FG, the mode distribution of FGL will vary, the most intense side mode may no longer be the mode nearest to the peak mode, and the wavelength interval between the peak mode and the most intense side mode maybe change (increase or decrease) with the change of  $\Delta n_0$ . So the mode competition will induce the change of the number, the location and the power of the modes.





Fig. 4 gives the corresponding P-I curves for these  $\Delta n_0$ . From this diagram, it can be observed that the threshold current is about 16mA for different  $\Delta n_0$ . With the increase of the  $\Delta n_0$ , the output power tends to decrease on the whole. When  $\Delta n_0 = 0.35 \times 10^{-3}$ , it has a largest output power and the slope is about  $0.28 \ mW / mA$ . When  $\Delta n_0 = 1.1 \times 10^{-3}$  and  $\Delta n_0 = 0.6 \times 10^{-3}$ , the output power become smaller and the slope is about  $0.07 \ mW / mA$  and  $0.015 \ mW / mA$ , respectively.



*Fig. 4. P-I curve for different*  $\Delta n_0$ *.* 



Fig. 5. (a) Peak transmission of the apodized FG vs.  $\Delta n_0$ . (b) SMSR of the optical spectrum in the internal cavity vs.  $\Delta n_0$  (I=40mA). (c) SMSR of the output spectrum of FGL vs.  $\Delta n_0$  (I=40mA).

This can be interpreted as that with the increase of  $\Delta n_0$  the peak transmission decreases obviously as shown in Fig. 5(a). So the output power will become smaller. However, when  $\Delta n_0=0.21\times10^{-3}$ , the slope is  $0.11 \ mW \ / mA$ . The result does not accord with above varying tendency. This can be interpreted as that with the increase of  $\Delta n_0$ , on the one hand, the peak reflectivity of FG becomes larger, which is helpful to suppression of the main mode to the side mode. On the other hand, with the increase of the peak reflectivity the output power will decrease. So an optimal  $\Delta n_0$  should be selected for better output.

Fig. 5(a) shows the variation of peak transmission of the apodized FG with  $\Delta n_0$ . From this diagram, it can be observed that with the increase of  $\Delta n_0$ , the peak transmission intensity will decrease.

Fig. 5 (b) shows the variation of SMSR of the optical spectrum in internal cavity with  $\Delta n_0$  for I=40mA. Fig. 5 (c) shows the variation of the SMSR of the output spectrum of FGL with  $\Delta n_0$  for I=40mA.

From these diagrams, it can be seen that when  $\Delta n_0$  is small, a negative SMSR appears. With the increase of  $\Delta n_0$ , SMSR become positive. Moreover, the SMSR of output spectrum of FGL undergoes a significant fluctuation. These phenomena can be explained as that when  $\Delta n_0$  is small, FGL oscillates at a wavelength outside the FG 3dB bandwidth. So the SMSR is negative. With the increase of  $\Delta n_0$ , on the one hand, peak reflectivity of FG will increase, which is helpful to suppression of the main mode to the side mode. On the other hand, as mentioned above, the mode distribution of FGL will vary. After taking into account the contribution of FG and the wavelength dependence of the gain profile of the LD medium, an oscillation of the SMSR with  $\Delta n_0$ can be comprehended.

### 4. Conclusions

By using transfer matrix method, after considering the gain distribution with wavelength, the wavelength of each output mode has been obtained. Combining with the multimode rate equations, the effect of the maximum refractive index modulation depth  $\Delta n_0$  of the Gaussian apodized FG on the output characteristics of FGLs have been investigated. With the change of the maximum of the refractive index modulation depth  $\Delta n_0$ , both the number and the location of the modes will undergo variations. The modes outside the 3dB bandwidth of FG have been suppressed efficiently and single mode operation with the side-mode suppression ratio (SMSR) more than 40dB can be achieved. We hope this work will be helpful to provide a profound insight to the FGLs.

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<sup>\*</sup>Corresponding author: gqxia@swu.edu.cn