

# Influence of Yb<sup>3+</sup> co-doping on Er<sup>3+</sup> up-conversion emission in NaYF<sub>4</sub>:Er<sup>3+</sup> phosphor under 808 nm excitation

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Intense green and red emissions are observed in Er<sup>3+</sup> singly-doped and Er<sup>3+</sup>/Yb<sup>3+</sup>-codoped NaYF<sub>4</sub> phosphors under 808nm excitation. Co-doped Yb<sup>3+</sup> ions reduce Er<sup>3+</sup> up-conversion emission at low Er<sup>3+</sup> doping concentration, while they enhance the up-conversion emission at relatively high Er<sup>3+</sup> concentration. A competitive energy transfer mechanism between Yb<sup>3+</sup> → Er<sup>3+</sup> and Er<sup>3+</sup> → Yb<sup>3+</sup> is proposed to explain the Yb<sup>3+</sup> dependent Er<sup>3+</sup> up-conversion behavior.

(Received February 21, 2012; accepted June 6, 2012)

**Keywords:** Er<sup>3+</sup>, Yb<sup>3+</sup>, Up-conversion, Energy transfer

## 1. Introduction

Recently, exploration on infrared-to-visible frequency up-conversion in rare-earth (RE) doped materials has become much more significant because of its potential applications in visible up-conversion lasers, undersea communication, medical diagnostics, high density optical memories, solid-state color displays [1-13], and so on. Among rare-earth ions for luminescence centers, Er<sup>3+</sup> exhibits excellent up-conversion performance because of the intermediate levels <sup>4</sup>I<sub>11/2</sub> (about 10219 cm<sup>-1</sup>) and <sup>4</sup>I<sub>9/2</sub> (about 12378 cm<sup>-1</sup>). These levels can be conveniently populated by commercial low-cost high-power near-infrared laser diodes, subsequently performing blue, green, and red up-conversion emissions [14-19]. Er<sup>3+</sup> up-conversion emission can be performed under both 808nm and 980nm excitation, many studies have showed that Yb<sup>3+</sup> ion can be used as a sensitizer for Er<sup>3+</sup> up-conversion emission under 980nm excitation [20-25]. S. Inoue et al reported the enhancement of Yb<sup>3+</sup> on Er<sup>3+</sup> up-conversion under 808nm excitation [26]. In our previous work [27], it was found that up-conversion under 812nm excitation tended to decrease in Ba<sub>2</sub>ErF<sub>7</sub>:Yb<sup>3+</sup> by the introduction of Yb<sup>3+</sup>, due to so much high Er<sup>3+</sup> concentration.

In this work, we investigate the effect of Yb<sup>3+</sup> co-doped on Er<sup>3+</sup> up-conversion emission intensity at different Er<sup>3+</sup> concentrations in NaYF<sub>4</sub>:Er<sup>3+</sup> under 808nm excitation. It's found that up-conversion emission of Er<sup>3+</sup> can be enhanced by co-doping with Yb<sup>3+</sup> ions in NaYF<sub>4</sub>:Er<sup>3+</sup> powder at proper Er<sup>3+</sup> concentration. A competitive energy transfer mechanism between Yb<sup>3+</sup> → Er<sup>3+</sup> and Er<sup>3+</sup> → Yb<sup>3+</sup> is proposed to explain the Yb<sup>3+</sup> dependent up-conversion behavior.

## 2. Experimental

The samples were prepared by a solid-state reaction method. The starting materials were reagent grade 99.9% purity NaF, 99.9% purity YF<sub>3</sub>, 99.999% purity ErF<sub>3</sub> and 99.999% purity YbF<sub>3</sub>. The samples were synthesized according to the molar composition of NaY<sub>1-x-y</sub>Yb<sub>x</sub>Er<sub>y</sub>F<sub>4</sub> (y=0.5, 5 mol%, x=0, 5, 8, 11, 14, 17 mol%). Details of NaYF<sub>4</sub> preparation procedures had been described in our previous work [28].

The X-ray diffraction patterns of the samples were measured on a D-8 advance equipment providing with Cu tube with K<sub>α</sub> radiation of 1.54056 Å, scanning in the 2θ range of 10° to 80° with 0.01° increments and 6s sweep time. The up-conversion spectra excited by an 808nm diode laser were obtained by the R-500 Spectrophotometer with resolution limit of 0.45 cm<sup>-1</sup> made by Japan Spectroscopic CO, LTD. The excitation laser beam was focused on the samples using a focal length lens of 3 cm and the spot size of 1 mm was obtained. The samples were pressed into thin flat disks with the same size (diameter D = 1 cm) and smooth surface for measurement. The signal of luminescence was detected at the angle of 90° with the incident beam. All the measurements were performed at room temperature.

## 3. Results and discussions

Fig. 1 shows representative X-ray diffraction patterns of NaY<sub>1-x-y</sub>Yb<sub>x</sub>Er<sub>y</sub>F<sub>4</sub> (x=0, 8, 14 mol%, y=5 mol%). The patterns indicate that the samples have been synthesized into pure hexagonal-NaYF<sub>4</sub>, according to the standard X-ray diffraction JCPDS 16-0334.

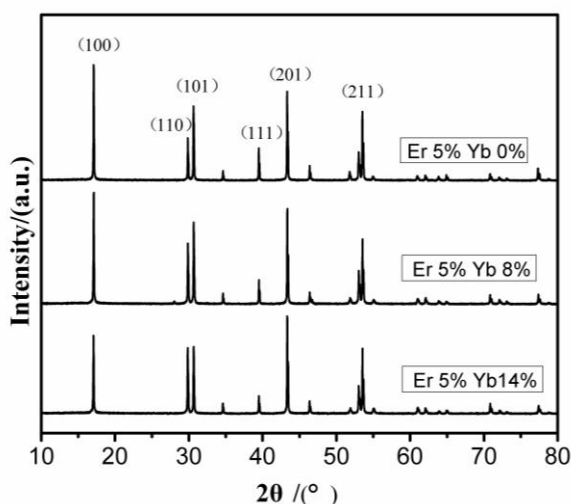


Fig. 1. Powder XRD patterns of  $\text{NaY}_{1-x}\text{Yb}_x\text{Er}_y\text{F}_4$  ( $x=0, 8, 14$  mol%,  $y=5$  mol%).

Up-conversion emission spectra of  $\text{Er}^{3+}$  singly-doped  $\text{NaYF}_4$  is shown in Fig. 2. Eight emission bands of  $\text{Er}^{3+}$  are observed, centered at 389, 408, 455, 492, 505, 525, 542 and 655nm, which are assigned to  ${}^4\text{G}_{11/2} \rightarrow {}^4\text{I}_{15/2}$ ,  ${}^2\text{H}_{9/2} \rightarrow {}^4\text{I}_{15/2}$ ,  ${}^4\text{F}_{5/2} \rightarrow {}^4\text{I}_{15/2}$ ,  ${}^4\text{F}_{7/2} \rightarrow {}^4\text{I}_{15/2}$ ,  ${}^4\text{G}_{11/2} \rightarrow {}^4\text{I}_{13/2}$ ,  ${}^2\text{H}_{11/2} \rightarrow {}^4\text{I}_{15/2}$ ,  ${}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$  and  ${}^4\text{F}_{9/2} \rightarrow {}^4\text{I}_{15/2}$  transitions respectively [29-31]. The most intense band is the green emission  ${}^4\text{S}_{3/2} \rightarrow {}^4\text{I}_{15/2}$ , and the secondary one is the red emission  ${}^4\text{F}_{9/2} \rightarrow {}^4\text{I}_{15/2}$ . Other emissions are much weaker than the two.

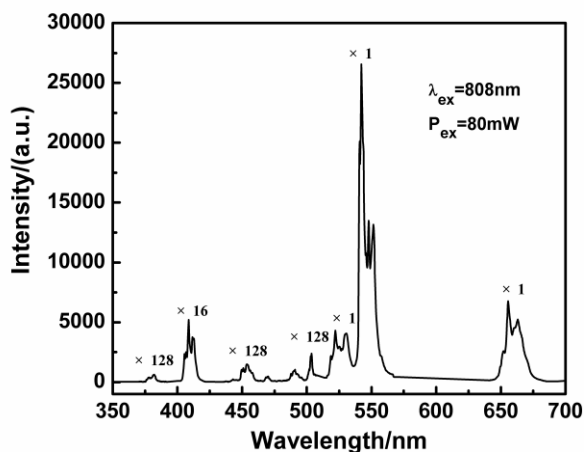


Fig. 2. Up-conversion emission spectra of  $\text{Er}^{3+}$ -doped  $\text{NaYF}_4$  phosphor under 808nm excitation.

Fig. 3 presents the dependence of up-conversion luminescence intensity on  $\text{Er}^{3+}$  concentration. With the increase of  $\text{Er}^{3+}$  concentration from 1 mol% to 6 mol%, the

green emission firstly increases and then decreases, with an optimum  $\text{Er}^{3+}$  concentration at 5 mol%. Thus in order to investigate the influence of  $\text{Yb}^{3+}$  concentration on up-conversion emission of  $\text{Er}^{3+}$ , two typical  $\text{Er}^{3+}$  concentrations, 0.5 mol% and 5 mol%, are selected to investigate the  $\text{Yb}^{3+}$  dependent  $\text{Er}^{3+}$  up-conversion behavior.

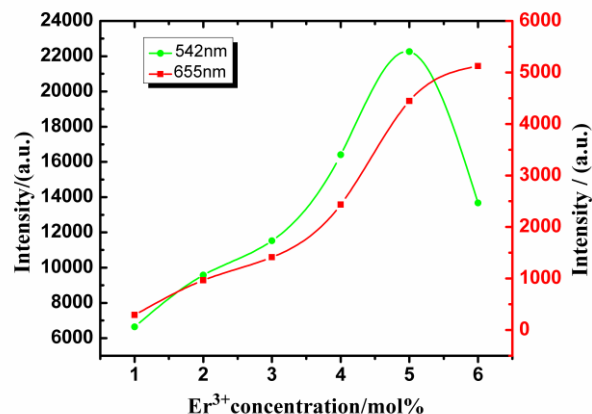


Fig. 3. Dependence of up-conversion luminescence intensity on  $\text{Er}^{3+}$  concentrations under 808nm excitation.

Fig. 4 shows  $\text{Er}^{3+}$  emission intensities as functions of  $\text{Yb}^{3+}$  concentrations under 808nm excitation. For the samples at  $\text{Er}^{3+}$  concentration of 0.5 mol%, increasing  $\text{Yb}^{3+}$  concentration from 0 to 14 mol%, the green and red emissions decrease drastically and then increase slightly. However, at any concentration of  $\text{Yb}^{3+}$ , up-conversion intensities of the co-doped samples are much weaker than that of  $\text{Er}^{3+}$  singly-doped samples. This indicates that  $\text{Yb}^{3+}$  quenches  $\text{Er}^{3+}$  up-conversion when  $\text{Er}^{3+}$  concentration is 0.5 mol%. For the samples at 5 mol%  $\text{Er}^{3+}$ , the  $\text{Yb}^{3+}$  dependent behavior on the red emission is similar to that of 0.5 mol%  $\text{Er}^{3+}$  doped ones. It decreases at first and then tends to increase. When  $\text{Yb}^{3+}$  concentration reaches to 14 mol%, the red emission for the co-doped sample is stronger than the  $\text{Er}^{3+}$  singly doped counterpart. On the other hand, the green emission always increases upon increasing  $\text{Yb}^{3+}$  concentration. It is noteworthy that the green emission for the samples co-doped with different concentrations of  $\text{Yb}^{3+}$  is always stronger than that of  $\text{Er}^{3+}$  singly-doped counterpart. Especially, at  $\text{Er}^{3+}$  concentration of 5 mol%, 14 mol%  $\text{Yb}^{3+}$  makes the green and red emissions enhanced nearly two times compared with the  $\text{Er}^{3+}$  singly-doped samples. Concentration quenching only occurs when  $\text{Yb}^{3+}$  concentration is higher than 14 mol%. It suggests that a suitable  $\text{Yb}^{3+}$  concentration can really improve the up-conversion for the samples with 5 mol%  $\text{Er}^{3+}$ .

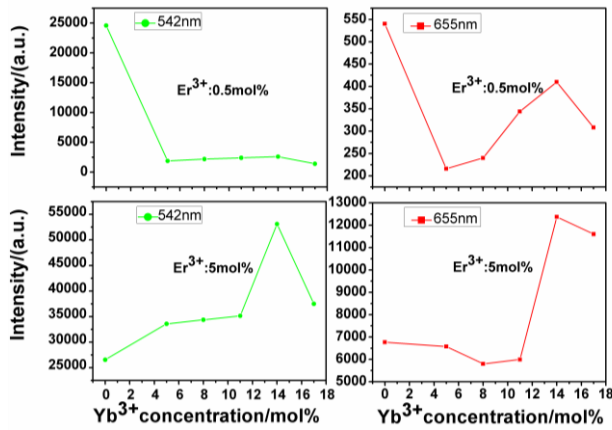


Fig. 4. Er<sup>3+</sup> emission intensity as functions of Yb<sup>3+</sup> concentrations for the samples with 0.5 mol% and 5 mol% Er<sup>3+</sup>.

The dependence of red and green emission intensities on the infrared excitation power in NaYF<sub>4</sub>:0.5 mol% Er<sup>3+</sup>, 14 mol% Yb<sup>3+</sup> and NaYF<sub>4</sub>:5 mol% Er<sup>3+</sup>, 14 mol% Yb<sup>3+</sup> are measured to understand the up-conversion process under 808 nm excitation. As shown in Fig. 5, the fitted slopes are 1.79 and 1.90 for the green and red emissions in NaYF<sub>4</sub>:0.5 mol% Er<sup>3+</sup>, 14 mol% Yb<sup>3+</sup>, which comes to be 1.88 and 1.91 for NaYF<sub>4</sub>:5 mol% Er<sup>3+</sup>, 14 mol% Yb<sup>3+</sup> respectively, indicating that the two emissions at two different Er<sup>3+</sup> concentrations both exhibit two-photon processes.

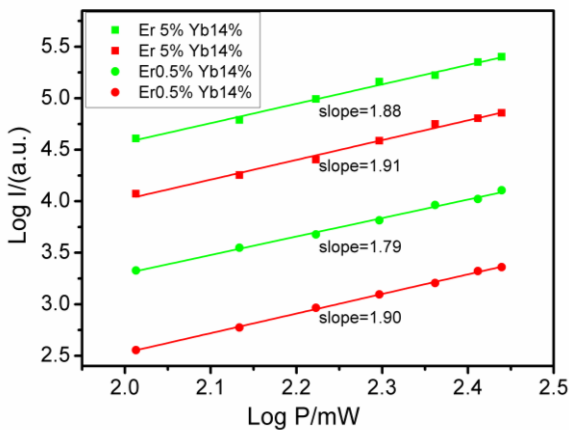


Fig. 5. Double-logarithmic plots of pump power dependence of green and red emissions for the samples of NaYF<sub>4</sub>:0.5 mol% Er<sup>3+</sup>, 14 mol% Yb<sup>3+</sup> and NaYF<sub>4</sub>:5 mol% Er<sup>3+</sup>, 14 mol% Yb<sup>3+</sup>.

In order to explain the Yb<sup>3+</sup> dependent up-conversion behavior at different Er<sup>3+</sup> concentrations, possible energy transfer pathways between Er<sup>3+</sup> and Yb<sup>3+</sup> are depicted in Fig. 6. For the samples with 0.5 mol% Er<sup>3+</sup>, the introduction of Yb<sup>3+</sup> drastically decreases the up-conversion emission due to the energy transfers from

Er<sup>3+</sup> → Yb<sup>3+</sup>. These energy transfers include ET (1)  $^4I_{11/2}(\text{Er}^{3+}) + ^2F_{7/2}(\text{Yb}^{3+}) \rightarrow ^4I_{15/2}(\text{Er}^{3+}) + ^2F_{5/2}(\text{Yb}^{3+})$ , ET (2)  $^2H_{11/2} / ^4S_{3/2}(\text{Er}^{3+}) + ^2F_{7/2}(\text{Yb}^{3+}) \rightarrow ^4I_{11/2}(\text{Er}^{3+}) + ^2F_{5/2}(\text{Yb}^{3+})$  [32, 33]. However, with Yb<sup>3+</sup> concentration increasing from 5 mol% to 14 mol%, both the green and red emissions slightly increase, just as shown in Fig. 4. Thus it is speculated that a no-resonant energy transfer, i.e., ET (3)  $^4I_{9/2}(\text{Er}^{3+}) + ^2F_{7/2}(\text{Yb}^{3+}) \rightarrow ^4I_{15/2}(\text{Er}^{3+}) + ^2F_{5/2}(\text{Yb}^{3+})$ , might also take place, exciting Yb<sup>3+</sup> ions into their excited states. With the increase of population at Yb<sup>3+</sup> excited state, the subsequent back energy transfer including BET (1)  $^4I_{15/2}(\text{Er}^{3+}) + ^2F_{5/2}(\text{Yb}^{3+}) \rightarrow ^4I_{11/2}(\text{Er}^{3+}) + ^2F_{7/2}(\text{Yb}^{3+})$  and BET (2)  $^4I_{11/2}(\text{Er}^{3+}) + ^2F_{5/2}(\text{Yb}^{3+}) \rightarrow ^4F_{7/2}(\text{Er}^{3+}) + ^2F_{7/2}(\text{Yb}^{3+})$  improve the up-conversion. However, the transfer ET (3) in the low Er<sup>3+</sup> concentration (0.5 mol%) samples is not efficient. Correspondingly the back energy transfers BET (1) and BET (2) can't significantly enhance the up-conversion. As a result, the quenching effect is dominant with the introduction of Yb<sup>3+</sup> for the low-Er<sup>3+</sup>-concentration samples.

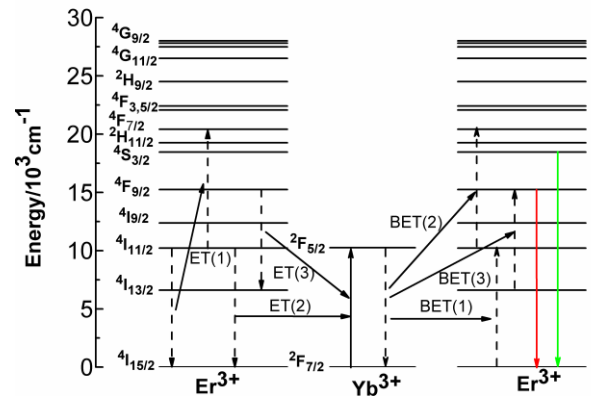


Fig. 6. Proposed up-conversion mechanism of NaYF<sub>4</sub>:Yb<sup>3+</sup>, Er<sup>3+</sup> under 808 nm excitation.

For the samples with 5 mol% Er<sup>3+</sup>, the increased Er<sup>3+</sup> concentration further shortens the distance between Yb<sup>3+</sup> and Er<sup>3+</sup> ions. Consequently both the energy transfer ET (3) and back energy transfer BET (1) and BET (2) will be effectively enhanced. BET (2) is especially efficient owing to its excellent energy-level-matching performance. This leads to significant and continued enhancement of the green emission with Yb<sup>3+</sup> concentration increasing from 0 to 14 mol%. As for the red emission, it is determined by the population of the  $^4F_{9/2}$  level. The  $^4F_{9/2}$  level is mainly populated by energy transfer between Er<sup>3+</sup> ions for Er<sup>3+</sup> singly doped sample, i.e.,  $^4I_{11/2}(\text{Er}^{3+}) + ^4I_{9/2}(\text{Er}^{3+}) \rightarrow ^4I_{13/2}(\text{Er}^{3+}) + ^4F_{9/2}(\text{Er}^{3+})$  [34]. With Yb<sup>3+</sup> concentration increasing, the ET (1) will depopulate the  $^4I_{9/2}$  level. This restrains the Er<sup>3+</sup>-Er<sup>3+</sup> energy transfer since it relies on the population density of Er<sup>3+</sup> at  $^4I_{9/2}$  state. Consequently, the population density of Er<sup>3+</sup> at  $^4F_{9/2}$  state also declines. Therefore, with Yb<sup>3+</sup> concentration increasing from 0 to 8 mol%, the red emission is gradually weakened. However, at higher Yb<sup>3+</sup> concentration, Another no-resonant back

energy transfer BET  $(3) {}^4I_{13/2} (\text{Er}^{3+}) + {}^2F_{5/2} (\text{Yb}^{3+}) \rightarrow {}^4F_{9/2} (\text{Er}^{3+}) + {}^2F_{7/2} (\text{Yb}^{3+})$  is also intensified because of the increased  $\text{Yb}^{3+}$  ions at  ${}^2F_{5/2}$  state. This back energy transfer increases the population of the  ${}^4F_{9/2}$  level of  $\text{Er}^{3+}$ , enhancing the red emission. As a result, the red emission for 14 mol%  $\text{Yb}^{3+}$  co-doped sample is even twice stronger than that of  $\text{Er}^{3+}$  singly doped one. It is noted that  $\text{Yb}^{3+}$ - $\text{Yb}^{3+}$  quenching will take place when  $\text{Yb}^{3+}$  concentration is too high. Therefore, both the green and red emissions begin to decrease at  $\text{Yb}^{3+}$  concentration of 17 mol%.

#### 4. Conclusions

In conclusion, the effect of  $\text{Yb}^{3+}$  concentration on  $\text{Er}^{3+}$  up-conversion is investigated in  $\text{NaYF}_4:\text{Yb}^{3+}/\text{Er}^{3+}$ .  $\text{Yb}^{3+}$  decreases  $\text{Er}^{3+}$  up-conversion emission at low  $\text{Er}^{3+}$  concentration, while enhances the  $\text{Er}^{3+}$  up-conversion greatly at relatively high  $\text{Er}^{3+}$  concentration. A competitive energy transfer mechanism between  $\text{Yb}^{3+} \rightarrow \text{Er}^{3+}$  and  $\text{Er}^{3+} \rightarrow \text{Yb}^{3+}$  is proposed to explain the  $\text{Yb}^{3+}$ -codoping effect. The investigation offers a new way to enhance the near-infrared (808nm) to green (542 nm) and red (655 nm) up-conversion of  $\text{Er}^{3+}$  ions.

#### Acknowledgements

The work is supported by Scientific Research Fund of Hunan Provincial Educational Department (No. 10A120, 11B117), Hunan Provincial Natural Science Foundation of China (No.10JJ6012).

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